

**Pre Bid Queries Response for RFP Reference No- NTB/CIAD/MA/2021/05/010, Migration Audit of CBS (Core Banking Solution) from Fincraft to Finacle 10.x**

Sr. No	Page No. / Section No.	Clause No.	Reference / Subject	Clarification Sought	Response by Bank
1	37, 5.1	4b	Bank Expects approx. 2000-2500 critical fields for 100% verification purpose.	1. Kindly share list of critical fields. 2. 100% verification may not be required. Sample verification should be suffice.	List of critical fields will be discussed with the successful bidder.
2	37, 5.1	4h	To provide an assurance that historical data covering the entire CBS including custom modules are correctly & completely migrated into target system.	Finacle 10.x does not allow migration of historical data. As per audit scope, historical data will be migrated to data archival solution. Auditor have to audit data	Yes. Data migration/data Archival data has to be successfully audited.
3	38, 5.1	4k	The gap analysis may require to be repeated until all errors identified are closed. Bidder is expected to provide a "Final Compliance Report" to certify the quality of data, efficiency of data migration process and stability of the data environment.	We understand data migration is iterative process. As part of data migration audit, migrated data will be audited by the auditors and errors / observations will be shared to bank and it's vendor. In order to give compliance report, these errors should have been closed by bank or it's vendor. If Bank or vendor fails to correct the data, audit process cannot go on for multiple rounds. Kindly revise scope to 1 round or 2 rounds of audit post migration after which non compliance will be reported in the final report.	Compliance requires 3 rounds of data validation.

4	39, 5.2	1	Attach these requirements to (or auto generation) Audit Cases, establishing a traceable link to corresponding requirement definition.	Audit cases will be limited to 2000-2500 fields of data migration assurance and not for product UAT.	Yes. The Audit cases will be limited to 2500 fields.
5	43, 6.3	6.3	Submission of Assurance Strategy - 10% Submission of Data Migration Process Assurance - 20% Completion of all migrations as finalized by Bank, and submission of assurance report - 50% Post Migration Submission of Final Report - 20%	Contract Signing - 30% Submission of assurance strategy & plan - 20% Completion of Pre-Migration Assurance & Submission of interim Report - 50% Submission of Final Report - 20%	This clause remains same as per RFP
6	The bidder must be specialized in Data migration assurance services for at least 5 years in India as on RFP Issuance Date.	4	eligibility criteria/ page no. 23.	Request bank to allow bidder to have similar kind of data migration project carry out for State Cooperative Bank / DCCB's / UCB's c. Kindly reduce the time period	This clause remains same as per RFP
7	The Bidder should have carried out Data migration assurance in at least 2 commercial banks / PSU / RRB's in India covering over 250+ branches each.	7	eligibility criteria/ page no. 23.	Request bank to allow bidder to have similar kind of data migration project carry out for State Cooperative Bank / DCCB's / UCB's c. Kindly reduce the time period	This clause remains same as per RFP
8	The Bidder should have a pool of resources who possess qualifications such as :CISA / CISSP / CCNA/ CISM / GIAC(SANS)	5	eligibility criteria/ page no. 23	Whether DISA certification can consider equivalent to CISA.	This clause remains same as per RFP

9	page no. 36, selection 5.1 / migration Audit report, under point (j) bidder is expected to identify the gaps in data migration & also covering requirements as detailed above and provide a 'Data Migration Audit Report' stating the gaps identified in the data migration audit	Page no. 36/ clause 5.1	page no. 36, section 5.1, point (j) bidder is expected to identify the gaps in data migration & also covering requirements as detailed above and provide a 'Data Migration Audit Report' stating the gaps identified in the data migration audit	Whether study can be conducted by empanelled firm or agency?	This clause remains same as per RFP
10	Service Level Agreement & Targets	page no. 64/ clause-6.4 & page no. 32, / section - 4.39. Placing of Purchase Orders	6.4. Service Level Agreement & Targets. and in page number 32 it is mentioned that " If the vendor is not able to provide services as defined under Section III Detailed Scope of work within the specified period, the penalty clause will be invoked. "	Request bank to give some brief clarity in SLA and penalty clauses, as some of the data migration and validation/ verification is on dependency with existing CBS vendor	This will be discussed with successful bidder
11	Page No. 37 Section 5.1	4 (g)	To provide an assurance that the timing and sequence for converting details	Removal of this clause	This clause remains same as per RFP
12	Page No. 43 Section 6.3		Payment Terms and Schedule	Modification - Add automated tool license Total license and Strategy level 50% payment required	This clause remains same as per RFP
13	5	1	Application Money: Rs.5,000/- (Rupees Five Thousand Only)	Are MSME firms Exempted from payment of Application Money	Refer to point 4.6 of the RFP
14	5	2	Bid Security (Earnest Money Deposit): Rs.1,00,000/- (Rupees One lakh Only)	Are MSME firms Exempted from payment of EMD.	Refer to point 4.6 of the RFP
15	5			Refer to point 4.6 of the RFP	Refer to point 4.6 of the RFP

16	23	6	The bidder should have on its permanent rolls at least 10 professionals specialized in Data migration assurance or related activity in India.	Kindly let us know if these clauses can be relaxed.	This clause remains same as per RFP
17	23	7	The Bidder should have carried out Data migration assurance in at least 2 commercial banks / PSU / RRB's in India covering over 250+ branches each.	Kindly let us know if these clauses can be relaxed.	This clause remains same as per RFP
18	Page no 34 - 40	Implementation		Due to the ongoing Pandemic situations ; Query- Will Bank permit to perform the migration audit assessment Off-Site or mandatorily on-site ?	Please be guided by RFP for this.
19	Page no 34 - 40	Implementation		If audit to be performed on-site,  Query- will the audit to be performed will be in Haldwani Location / Delhi Location / Both  Query- request clarification	Audit will be performed in both the loactions i.e. Haldwani and Delhi. Kindly refer to detailed scope of work details given in RFP.
20	Page no 34 - 40	Implementation		Query- Will all the data of Bank Branches available centrally @ Haldwani / Delhi location for assessment or  Query- a. Will the audit verification entail any branch site visits?	The data will be centrally located in the given offices mentioned in RFP.however in case of any requirement, Branch visits can be a possibility (subject to condition).

21	Page no 34 - 40	Implementation		Eco-System Required for both Pre-Migration and Post Migration  Query- will this be provisioned / deployed ?	Kindly refer to detailed Scope of work (5, section 3) Page no 36.
22	Page no 34 - 40	Implementation		Query- Planned / Tentative Go-Live Date	Tentative date is September 2021
23	Page no 7- 2.1. Document Control Sheet	Mode of Submission of Bid		Due to the ongoing Pandemic situations ;  Query- Can we submit our bid documents on E-mail.(Password Protected format)	This clause remains same as per RFP

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